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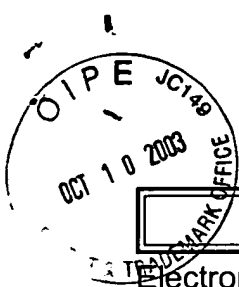
EFS ID: 49153
Application ID: 10064744
Title of Invention: Contingent convertible financial instruments
First Named Inventor: James Birle
Domestic/Foreign Application: Domestic Application
Filing Date: 2002-08-12
Effective Receipt Date: 2003-10-10
Submission Type: Information Disclosure Statement
Filing Type:
Confirmation number: 6609
Attorney Docket Number: MLCO.P-001



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OCT 17 2003
GROUP 3600

Total Fees Authorized:

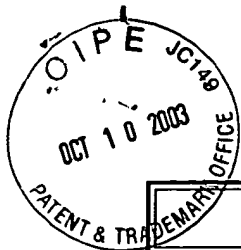
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Title of Invention	Contingent convertible financial instruments								
<table><tr><td>Application Number: 10/064744</td><td rowspan="5">RECEIVED OCT 17 2003 GROUP 3600</td></tr><tr><td>Date: 2002-08-12</td></tr><tr><td>First Named Applicant: Mr. James R. Birle Jr.</td></tr><tr><td>Confirmation Number: 6609</td></tr><tr><td>Attorney Docket Number: MLCO.P-001</td></tr></table>		Application Number: 10/064744	RECEIVED OCT 17 2003 GROUP 3600	Date: 2002-08-12	First Named Applicant: Mr. James R. Birle Jr.	Confirmation Number: 6609	Attorney Docket Number: MLCO.P-001		
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<table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Mr. Carl Oppedahl Registered Number: 32,746</td><td>/s/</td><td>Attorney</td></tr></tbody></table>		Submitted by:	Elec. Sign.	Sign. Capacity	Mr. Carl Oppedahl Registered Number: 32,746	/s/	Attorney		
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<table><tr><td>Documents being submitted</td><td>Files</td></tr><tr><td>us-ids</td><td>mlcop001j-usidst.xml</td></tr><tr><td></td><td>us-ids.dtd</td></tr><tr><td></td><td>us-ids.xsl</td></tr></table>		Documents being submitted	Files	us-ids	mlcop001j-usidst.xml		us-ids.dtd		us-ids.xsl
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Comments									



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Stylesheet Version v18.0

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<p>Application Number: 10/064744 Confirmation Number: 6609 First Named Applicant: James Birle Attorney Docket Number: MLCO.P-001 Search string: (6347307 or 5742775 or 20020077961).pn.</p> <p>RECEIVED OCT 17 2003 GROUP 3600</p> <p>US Patent Documents</p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td></td><td>1</td><td>6347307</td><td>2002-02-12</td><td>Sandhu, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>2</td><td>5742775</td><td>1998-04-21</td><td>King, Douglas L.</td><td></td><td></td><td></td></tr></tbody></table> <p>US Published Applications</p> <p>Note: Applicant is not required to submit a paper copy of cited US Published Applications</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Pub. No.</th><th>Date</th><th>Applicant</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td></td><td>1</td><td>20020077961</td><td>2002-06-20</td><td>Eckert, et al.</td><td></td><td></td><td></td></tr></tbody></table> <p>Signature</p> <table border="1"><tr><td>Examiner Name</td><td>Date</td></tr><tr><td></td><td></td></tr></table>		init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass		1	6347307	2002-02-12	Sandhu, et al.					2	5742775	1998-04-21	King, Douglas L.				init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass		1	20020077961	2002-06-20	Eckert, et al.				Examiner Name	Date		
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